## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES RECEIVING OFFICE (RO/US)

Applic	eant: Binz, H. et al.	)	Attorney Docket No. PIE1514P0182US
		)	
Serial	No.: 09/679,750	)	Group Art Unit: 1645
		)	
Filed:	October 8, 2000	)	Examiner: S. Devi
		)	
For:	Carrier Protein Having an	)	
	Adjuvant Effect, Immunogenic	)	
	Complex Containing It, Process	)	
	for Their Preparation, Nucleotide	)	
	Sequence and Vaccine	)	

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached form PTO-1449 be considered by the Examiner and made of record. Copies of the listed references have not been enclosed, as they were previously provided. The Examiner is invited to contact Applicant's attorney for copies of these references, if needed.

Respectfully submitted,

WOOD, PHILLIPS, KATZ, CLARK & MORTIMER

Martin L. Katz, Reg. No. 25,011

Date: April 1, 2004

## Docket No. PIE1514P0182US

Citicorp Center - Suite 3800 500 W. Madison St. Chicago, Illinois 60661-2511 (312) 876-1800

Comparable to Form PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO				Application Number	09/679,750
				Filing Date	October 8, 2000
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	H. Binz, et al.
				Group Art Unit	1645
				Examiner Name	S. Devi
Sheet	1	of	2	Attorney Docket No.	PIE1514P0180US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite	U.S. Patent Document  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
	No.¹					
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		FOREIGN PA	ATENT DOCUMENT	S		
Examiner Initials'	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Τ¢
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	
		WO, A,89 05823	06/28/89			
		WO,A,92 04375	03/19/92			
		WO,A,93 14207	07/22/93			
		WO,A, 95 27787	10/19/95			
	1-1	WO 9,614,415	05/17/96	Binz et al		
Examiner S	ignature			Date Consid	ered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. <sup>6</sup> Applicant is to place a checkmark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT				Group Art Unit	1645
(Use as many sheets as necessary)  Sheet 2 of 2				Examiner Name	S. Devi
				Attorney Docket No.	PIE1514P0180US

	_	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.							
		LAWRENCE, J.G. et al., 'Molecular and evolutionary relationships among enteric bacteria', J. of General Microbiology, vol. 137 No. 8. pgs. 1911-1921						
		HAEUW et al. Eur. J. Biochem. 255; 446-454, 1988						
		BIZZINI et al. Asian Pac. J. Allergy Immunol. 2: 144-153, 1984						
		BIZZINI et al. Biomed. Pharmacother. 46: 491-494, 1992						
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Examiner Sign	nature	Date Considered						

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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